

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):
James Andr 3, et al.
Serial No.: 0. 194
Filed: July 25, . 1
For: "ERROR CORRECTION CODING AND
DECODING IN A SOLID-STATE STORAGE
DEVICE"

Group Art Unit: 2811
Examiner: unknown
Our Ref.: B-4257 618971-3

Date of this paper:

November 8, 2001

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated 09/10/2001 (copy attached).

DECLARATION OR OATH

- [X] Please find enclosed the Declaration/Power signed by inventor James Andrew Davis.
- [X] Please find enclosed the Declaration/Power signed by inventor Jonathan Jedwab.
- [X] Please find enclosed the Declaration/Power signed by inventors David H. McIntyre, Kenneth K. Smith, and Stewart R. Wyatt.
- [X] Please find enclosed the Declaration/Power signed by inventor Kenneth Graham Paterson.
- [X] Please find enclosed the Declaration/Power signed by inventor Frederick A. Perner.
- [X] Please find enclosed the Declaration/Power signed by inventor Gadiel Seroussi.

AMENDMENT OF CLAIMS

- [] Please cancel claims _____ inclusive
- [] Please find enclosed an amendment deleting multiple dependencies
- NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

- [X] Filing fee (37 CFR 1.16(a) - 740.00) \$740.00
(small entity - 370.00)
- [] Fees for claims
- [] Independent claim in excess of 3
(37 CFR 1.16(b) - 84.00) _____
(small entity - 42.00)
- [] Claims in excess of 20
(37 CFR 1.16(c) - 18.00) _____
(small entity - 9.00)

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Date: November 8, 2001

Karvn Lao

(Typed or printed name of person mailing paper)

(Signature of person mailing paper)

Completion of Filing Requirements (Page 1 of 2)

- ☐ e dependent claim(s)
37 CFR 1.16(d) - 260.00
small entity - 130.00
- ☒ Surcharge fees
- ☒ Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
(small entity - 65.00)
- AND**
- ☒ Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) \$130.00
(small entity - 65.00)
- ☐ Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00)
- ☐ Recording assignment
(37 CFR 1.21(h) (i) 40.00)
- ☐ Attached is an assignment to

Total fees: \$870.00

SMALL ENTITY STATUS

- ☐ A verified statement that this filing is by a small entity is
- ☐ Attached ☐ Already filed on _____
W/cover sheet

PAYMENT OF FEES


- ☒ Enclosed is a check in the amount of \$870.00
- ☐ Charge Account No. 12-0415 in the amount of _____
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

- ☒ The Commissioner is hereby authorized to charge the following additional fees which may be required or credit any overpayment to Account No. 12-0415.
- ☒ 37 CFR 1.16 (filing fees and presentation of extra claims)
- ☒ 37 CFR 1.17 (application processing fees)
- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting loss of entitlement to small entity status must be filed in application... prior to paying... issue fee."


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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/915,194	07/25/2001	James Andrew Davis	B-4257 618971-3

CONFIRMATION NO. 5298

FORMALITIES LETTER



OC00000006534579

LADAS & PARRY
Suite 2100
5670 Wilshire Boulevard
Los Angeles, CA 90036-5679

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/15/2002 SDIRETA1 00000005 09915194

01 FC:101 740.00 DP
02 FC:105 130.00 DP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE